Liquid Capital Statement

for the month of 30-NOV-19

of M/s. Adam Securities Limited.

Submission Date 12-DEC-2019 15:49:50

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Assets 1. Assets 1.1 Property & Equipment 1.2 Interestment Good Securities 1.3 Interestment Good Securities 1.4 Investment in Obto Securities 1.5 Interestment Good Securities 1.6 Investment in Obto Securities 1.7 Fiscol Brazil Securities 1.7 Fiscol Brazil Securities 1.8 Investment in Obto Securities 1.9 Investment Good Securities 1.9 Investment of Property Securities 1.9 Investment of Property Securities Control Securities Control Securities 1.9 Investment in Captily Securities 1.9 Investment in Equity Securities Investment in Properties to search Amount paid as subscription money 1.0 Investment in Securities and in Properties to search Amount paid as subscription money 1.0 Investment in Securities in the Equity Investment in Properties to search Securities Secur					
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iv. Balance sheet value v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 273,611 0 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,9		iii. Net amount after deducting haricut			
v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts 5,111,922 279,987 5,111,922 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,987 279,98			14,274,769	0	14,274,769
value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of securities held as collateral after applying VAR based haircuts. vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances i. Bank Balance-proprietory accounts value of securities purchased for customers and held in sub-accounts after applying VAR based haircuts. 0 0 273,611 0 273,611			5 111 022	270 097	279,987
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vi. 100% haircut in the case of amount receivable form related parties. 1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 273,611 0 273,611		haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
1.18 Cash and Bank balances i. Bank Balance-proprietory accounts 273,611 0 273,711					
i. Bank Balance-proprietory accounts 273,611 0 273,			0	0	
	1.10		272 644		272.644

Liquid Capital Statement

for the month of 30-NOV-19

of M/s. Adam Securities Limited.

Submission Date 12-DEC-2019 15:49:50

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1.19 To	Total Assets	1,042,293,747	338,460,566	717,004,799
2 L	Liabilities			
	Trade Payables			
	. Payable to exchanges and clearing house	129,588,790	0	129,588,790
	i. Payable against leveraged market products ii. Payable to customers	27,917,405	0	27,917,405
	Current Liabilities	27,917,403	U	27,917,403
	. Statutory and regulatory dues	0	0	
<u> </u>	i. Accruals and other payables	11,905,824	0	11,905,824
	ii. Short-term borrowings	257,600,401	0	257,600,401
	v. Current portion of subordinated loans v. Current portion of long term liabilities	0		
	vi. Deferred Liabilities	0		
V	/ii. Provision for bad debts	0	0	
	/iii. Provision for taxation	0		
	x. Other liabilities as per accounting principles and included in the financial statements	0	0	
<u> </u>	Non-Current Liabilities			
	. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from	0	0	
	a. Long-term thanking obtained from financial institution: Long term portion of financing obtained from The financial institution including amount due against finance lease	0	U	
b	o. Other long-term financing	0	0	
	i. Staff retirement benefits	0		
	ii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in	0	0	
l le	espect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital			
, b	b. Boad of Directors of the company has approved the increase in capital			
c	c. Relevant Regulatory approvals have been obtained			
	d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements			
l le	elating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.			
	v. Other liabilities as per accounting principles and included in the financial statements	0	0	
2.4 S	Subordinated Loans			
	. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted:	75,000,000	75,000,000	
_ TI	The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the			
	conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid			
	after 12 months of reporting period			
	o. No haircut will be allowed against short term portion which is repayable within next 12 months.			
	c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid			
	Capital statement must be submitted to exchange. i. Subordinated loans which do not fulfill the conditions specified by SECP	0	0	
.	i. Subolalitated loans which do not famili the conditions specified by SECT	0	o o	
2.5 To	Total Liabilites	502,012,420	75,000,000	427,012,420
3 R	Ranking Liabilities Relating to:			
	Concentration in Margin Financing			
Ti	The amount calculated client-to- client basis by which any amount receivable from any of the	17,723,566	7,621,601	7,621,601
fi	inancees exceed 10% of the aggregate of amounts receivable from total financees.			
	Concentration in securites lending and borrowing			
	The amount by which the aggregate of:	0	0	
	(i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and			
	(iii) The market value of securities pledged as margins exceed the 110% of the market value of shares			
	porrowed			
	Net underwriting Commitments			
	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription	0	0	
	orice; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and			
	(i) the value by which the underwriting commitments exceeds the market price of the securities.			
İr	n the case of rights issuse where the market price of securities is greater than the subscription price, 5% of			
<u> t</u> !	the Haircut multiplied by the net underwriting	_	_	
	(b) in any other case: 12.5% of the net underwriting commitments	0	0	
1	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)	0	0	
	exceed the total liabilities of the subsidiary		٥	
	Foreign exchange agreements and foreign currency positions			
	5% of the net position in foreign currency. Net position in foreign currency means the difference of total	0	0	
a	assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
	Amount Payable under REPO	0	0	
R	Repo adjustment			

Liquid Capital Statement

for the month of 30-NOV-19

of M/s. Adam Securities Limited.

Submission Date 12-DEC-2019 15:49:50

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s.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
		Pak Rupee	Adjustments	Value
	In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securities. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.	0	0	
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	0	0	
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the	46,685,391	46,685,391	46,685,391
3.10	extent not already met Short sellI positions	0	0	
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts	0	0	
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.	0	0	
3.11	Total Ranking Liabilites	64,408,957		
3.12	Liquid Capital	475,872,370	209,153,574	235,685,387